

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

08/22/03

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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.33(b))</small> | Attorney Docket No. | 3336.1017-001 |
| | First Named Inventor or Application Identifier | Foster D. Hinshaw |
| | Express Mail Label No. | EV 052031241 US |

03917 U.S. PTO
10/646522
08/22/03

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| Title of Invention | CONTROLLING VISIBILITY IN MULTI-VERSION DATABASE SYSTEMS |
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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
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| 1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification Total Pages [55] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets [22] <input checked="" type="checkbox"/> Fig. of the Drawings for Publication [9] <input type="checkbox"/> No Figure to be Published 4. <input type="checkbox"/> Oath or Declaration Total Pages [] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> | 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy) [] Pages c. <input type="checkbox"/> Statements verifying identity of above copies |
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| ACCOMPANYING APPLICATION PARTS | |
|---|--|
| 7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) | |
| <input checked="" type="checkbox"/> Assignee - Netezza Corporation | Framingham, Massachusetts 01702 |
| 8. <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement |
| 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> | |
| 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 11. <input type="checkbox"/> Preliminary Amendment | |
| 12. <input checked="" type="checkbox"/> Return Receipt Postcard | |
| 13. <input type="checkbox"/> Small Entity Statement(s) | |
| 14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365 | |
| 14b. <input type="checkbox"/> Certified Copy of Priority Document(s) | |
| 15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i> | |
| 16. <input type="checkbox"/> Other | |

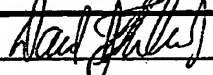
17. If a **CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: Group Art Unit:

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.
(Add standard Related Applications section with incorporation by reference to specification or update same)

| 18. CORRESPONDENCE ADDRESS | | | | | |
|----------------------------|---|-----------|----------------|----------|----------------|
| NAME | Customer No. 021005 | | | | |
| | HAMILTON, BROOK, SMITH & REYNOLDS, P.C. | | | | |
| ADDRESS | 530 Virginia Road, P.O. Box 9133 | | | | |
| CITY | Concord | STATE | MA | ZIP CODE | 01742-9133 |
| COUNTRY | USA | TELEPHONE | (978) 341-0036 | FAX | (978) 341-0136 |

| | | | |
|---------------------------------------|---|-------------|---------|
| Signature |  | Date | 8/22/03 |
| Submitted by Typed or Printed Name | David J. Thibodeau, Jr. | Reg. Number | 31,671 |

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

JAN 20 2004

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| FEES TRANSMITTAL FOR PATENT APPLICATIONS | Attorney Docket Number | 3336.1017-001 |
| | Application Number | 10/646,522 |
| | First Named Inventor | Foster D. Hinshaw |

CLAIM CALCULATION (includes any preliminary amendment)

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|---|---------|------------------|------------------|--------------------------------------|------------------|
| TOTAL CLAIMS (37 CFR 1.16(c) or (j)) | | 49 - 20* = | 29 | x \$ 18 = | \$ 522 |
| INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i)) | | 3 - 3** = | 0 | x \$ 86 = | \$ 0 |
| MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | | + \$ 290 = | \$ |
| | | | | BASIC FEE (37 CFR 1.16(a) or (h)) | \$ 770 |
| Total of above Calculations = | | | | | \$ 1292 |
| Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) = | | | | | \$ |
| TOTAL = | | | | | \$ 1292 |
| Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) = | | | | | \$ 130 |
| Petition for Extension of Time Fee (37 C.F.R. 1.17) = | | | | | \$ |
| Assignment Recordation Fee = (only when filed with application) | | | | | \$ |
| [Other] | | | | | \$ |
| * Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent | | | | | TOTAL = \$ 1422 |

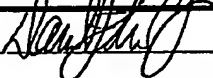
1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$1422.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

| | | | |
|---------------------------------------|---|-------------|---------|
| Signature |  | Date | 1/15/04 |
| Submitted by Typed or Printed Name | David J. Thibodeau, Jr. | Reg. Number | 31,671 |

This fee has not reached the following
 11560 (initial) Request FD 1/14/04
 11560 (initial) Request FD 1/14/04
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